

# ANNUAL REPORT 2016



# Towards Resilient Business Community



## *In the Past .....*

Asian Disaster Preparedness Center (ADPC) has a long-term experience of engaging the private sector in disaster risk reduction (DRR) as far back as 2007 when ADPC assisted in establishing public private partnerships in Viet Nam. Following the Great 2011 Thai floods, which caused significant damage to the business sector, ADPC carried out assessment study to explore how the private sector can be mobilized for disaster prevention and climate change adaptation in the country.

Furthermore, recognizing vulnerability of small and medium enterprises (SME), ADPC developed a private sector focused initiative under "Private Sector Engagement in Natural Disaster Risk Reduction for Resilient Economies through Business Continuity Planning and Management" project in Thailand in early 2014 funded by JTI Foundation. Together with the Department of Disaster Prevention and Mitigation (DDPM) and the Office of Small and Medium Enterprises Promotion (OSMEP), ADPC organized several disaster risk management and business continuity planning training to more than 100 government officials and private sector representatives. Under the project, a two-day national consultation called "Towards a Resilient Business Community in Thailand: Small and Medium Enterprises and Disaster Risk Reduction" was also convened to draw lessons from the country and elsewhere on private sector disaster resilience initiatives while exploring the role of the private sector in DRR and its effective supporting environment, among various stakeholders.

Building on ADPC support for private sector engagement in DRR, ADPC launched a regional project on "Strengthening Disaster Resilience of Small and Medium Enterprises in Asia" in late 2014, supported by the Integrated Disaster Risk Management Fund of Asian Development Bank (ADB), financed by the Government of Canada, and the German Ministry for Economic Development and

Cooperation (BMZ) through the Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) within the framework of the Global Initiative on Disaster Risk Management (GIDRM). At the same time, the iPrepare Business facility was established in ADPC as a dedicated unit to engage the private sector, particularly SMEs in DRR.

In 2015, the iPrepare Business facility commenced surveys in four project target countries: Indonesia, the Philippines, Thailand and Viet Nam to gain an in-depth understanding of the state of SMEs in disaster preparedness. The iPrepare Business also conducted Training of Trainers on business continuity planning and started direct technical services to selected Thai SMEs to build their business continuity capacities under the "Resilient SME Champion" program.

In addition, the iPrepare Business facility contributed to various other activities such as the Third UN Conference on Disaster Risk Reduction, APEC events and initiatives, Breakfast talk organized by Thai and Italian Chamber of Commerce, and Toyota Cooperation Club led Risk and Business Continuity Management meeting by in Thailand.



## Dear Readers,

Since the launch of iPrepare Business in 2014, under Asian Disaster Preparedness Center (ADPC), the facility has collaborated with various partners ranging from governments, businesses and development partners in promoting the engagement of the private sector in disaster risk reduction (DRR). We sincerely thank you for your continued guidance and support in our work.

The private sector has been clearly acknowledged as a key stakeholder in the post 2015 agenda for DRR including the Sendai Framework for Disaster Risk Reduction 2015-2030 (SFDRR). Furthermore, the recent Asian Ministerial Conference for Disaster Risk Reduction held in India spelled out the importance of engaging the private sector in achieving the SFDRR goals and integrated initiatives relevant to the private sector into the Asia Regional Plan for Implementation of the SFDRR, specifically under all four priorities in a two-year Action Plan (2017-2018): 1) understanding risk, 2) strengthening disaster risk governance, 3) investing in DRR and resilience and 4) enhancing disaster preparedness for effective response, build back better in recovery, rehabilitation and reconstruction.

Recognizing business resilience, particularly that of small and medium enterprises (SMEs) is a crucial part of efforts to build safer communities and more secure societies, we have focused on enhancing the awareness and capacity of the private sector on risk-informed decisions and practices. We aim to create an

environment conducive for business resilience by providing business continuity management tools which are simplified and tailored to the local context and based on scientific risk profiles as well as by supporting the fostering of a supportive institutional and policy environment.

We are pleased to note that our efforts have ignited the interest of risk-sensitive practices among the private sector including SMEs. The iPrepare Business facility will continue to assist the private sector in transforming risk information into risk-informed investment decisions by building on our expertise, experience and networks.

This annual progress report summarizes all the initiatives undertaken by the iPrepare Business facility in 2016. The facility has been supported by many organizations such as Asian Development Bank (ADB), Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) and Japan International Cooperation Agency (JICA). We have also cooperated with many partners from the public and private sectors including both large, particularly Isuzu Motors CO., (Thailand) LTD. and SMEs as well as development partners in the region and beyond.

We look forward to continuing our work on strengthening private sector engagement in DRR into the new year and beyond.

### **iPrepare Business Team**

Asian Disaster Preparedness Center (ADPC)





# ADPC's Journey towards Private Sector Engagement

**2007-2011**

Promoting Public-Private Partnerships in Viet Nam

**2011-2012**

Assessment study on mobilizing the private sector for disaster preventive adaptation to climate change

**2013-2014**

National Consultation on Private Sector Engagement in DRR  
BCP Orientation Workshops & ISO22301 Training  
Study on disaster resilience and status of BCP Development  
Global Best Practices on SME Disaster Resilience

**2015-2016**

**2016-2020**

Resilient Investments Through Disaster and Climate Risk Management Practices by Private Sector  
Increased awareness and understanding of disaster and climate risk in private sector  
Enhanced capacity through business continuity planning and management  
Knowledge networking for risk investment and public-private partnership

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## 1. Introduction

Coupled with the adverse impacts of climate change, the private sector has increasingly been affected by natural hazard-induced disasters due to a rise in intensified disasters such as typhoons and floods as well as vulnerability derived from business investment which fails to take into account disaster risk. Small and medium enterprises (SMEs), despite being a backbone of regional, national and local economies, are particularly at risk from disasters due to their limited capacities and resources to prepare for and cope with such disruptive events.

Recognizing the demand and interest in building disaster resilience in the private sector, the iPrepare Business was established in 2014 by ADPC as a dedicated unit to engage the private sector, particularly SMEs, in DRR. The goal of the iPrepare Business is to build disaster-resilient businesses in the region, in particular that of SMEs, by creating shared valued through linking resilient businesses to society's resilience.

The iPrepare Business unit addresses this by enhancing awareness and providing technical services on resilient investment and actions by the private sector, supporting governments in enhancing the enabling environment for private sector on risk-informed investment and by facilitating knowledge sharing at the regional and national levels.

This year, in partnership with Asian Development Bank (ADB), Deutsche Gesellschaft für Internationale

Zusammenarbeit (GIZ), Global Disaster Preparedness Center (GDPC) and The Asia Foundation, ***the iPrepare Business facility convened a regional forum, "Asian Business Forum on Risk Reduction and Resilience Building"*** in Bangkok. Attended by over 200 participants with strong presence from the private sector, the forum created an effective platform for connecting various stakeholders from all over the region and beyond for exchanging good practices and lessons-learned on business resilience.

Subsequently, a national - level forum was conducted in Indonesia, the Philippines, Thailand and Viet Nam, which provided a venue for dialogue between government agencies working on Disaster Risk Management (DRM) and SME development as well as among the public and private sectors and development partners.

This has built great momentum for promoting more inclusive, integrated efforts for risk-informed practices and investment in the private sector.

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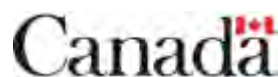
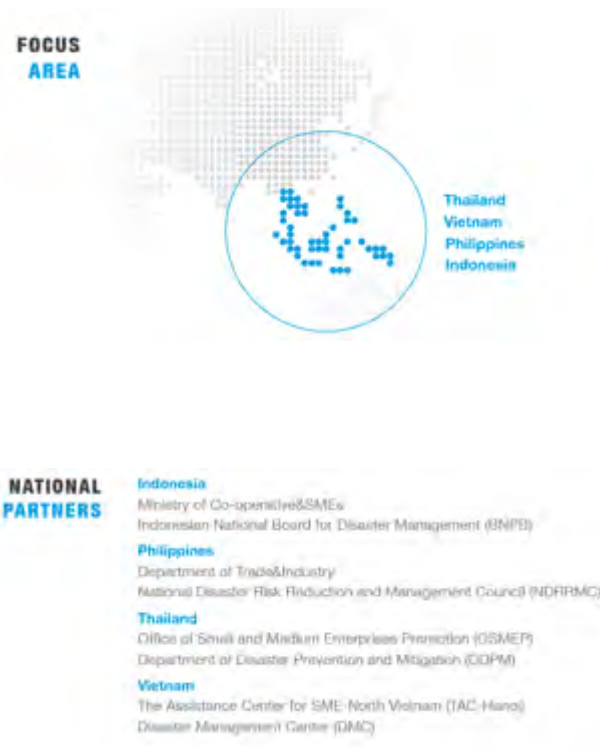
## 2. Strengthening Disaster Resilience of Small and Medium Enterprises in Asia

Recognizing the importance of regional economic resilience, ADPC launched a regional project on “Strengthening Disaster Resilience of Small and Medium Enterprises in Asia” under its iPrepare Business facility in late 2014. The project is supported by the ADB’s Integrated Disaster Risk Management Fund, financed by the Government of Canada, and the German Ministry for Economic Development and Cooperation (BMZ) through the GIZ within the framework of the Global Initiative on Disaster Risk Management.

The overall objective of the project is to build disaster-resilient capacities in SMEs in Indonesia, the Philippines, Thailand and Viet Nam by undertaking the following activities:

- 1) identifying actions to strengthen resilience of SMEs;
- 2) providing technical assistance in strengthening resilience to selected SMEs on a demand-driven basis;
- 3) supporting governments in strengthening the enabling environment that promotes risk sensitive and informed investments by SMEs; and
- 4) facilitating knowledge sharing.

The project duration was initially 18 months (December 2014 – 31 May 2016), however in order to scale up the positive impacts the project has created, the project period has been extended to 30 June 2017.



**During the reporting period between 1 January 2016 and 31 December 2017, the iPrepare Business facility undertook various activities such as surveys, policy reviews, development of roadmaps and organizing forums at the regional and national levels.**

## **2.1 Actions identified to strengthen disaster resilience of the SME sector**

### **a. SME Resilience Survey**

The SME Resilience surveys were carried out in Indonesia, the Philippines, Thailand and Viet Nam from October 2015 to January 2016 in order to gain a deeper understanding of the state of SME resilience in each country as well as their needs for building disaster resilience. The surveys assessed the level of disaster experience of enterprises, perceived hazards, preparedness measures including development of business continuity plan (BCP) and incentive and assistance needs.

We collected approximately over 400 to 600 survey responses per country. The details of the surveys are summarized in the table

<b>Country</b>	<b>SME survey responses</b>	<b>Survey areas</b>	<b>Administrator</b>
Indonesia	400	Urban, disaster prone areas (Aceh, Jabodetabek, Depok & Jogja)	ADPC and SME Center, University of Indonesia
Philippines	513	17 out of 18 regions	ADPC and the Bureau of SME Development of the DTI-BSMED
Thailand	425	All over the country (but with few responses from South and North)	ADPC, OSMEP & SME Bank
Viet Nam	442	All over the country	ADPC and HTV Training and Consulting
<b>Total</b>	<b>1,780</b>		

below:

Also, survey reports can be obtained from the following link: <https://www.dropbox.com/sh/lztqrrlfbqqqu/g/AACbbor6FQi7Zh4blw0nTt02a?dl=0>

### **b. SME Resilience roadmap formulation**

Based on the findings of the survey, policy review on DRM and SME promotion and development systems in each country and consultation with relevant stakeholders, we have developed a Roadmap in four countries. The roadmap is expected to serve as a guiding document that can inform key stakeholders of the way-forward for strengthening the resilience for SMEs. The roadmap answers the following questions:

1. **What** are the identified needs?
2. **Who** needs to be concerned with SME disaster resilience?
3. **Which** policy mechanisms or actions can best support SMEs to become more disaster- resilient?
4. **How and where** policy and targeted activities can communicate with and access to SMEs?



The roadmaps contain thematic areas identified in building SME resilience in the country, suggested corresponding key actions, relevant stakeholders, potential pilot initiatives and its time line. The roadmap process has provided an opportunity to create both mutual understanding and awareness among concerned stakeholders, and to establish specific mechanisms for such coordination in the future.

## 2.2. Technical support to SMEs to strengthen disaster resilience

### Resilient SME Champions Program in Thailand

In order to ensure that disaster preparedness capacities of SMEs are enhanced, in close partnership with the Office for Small and Medium Enterprise Promotion (OSMEP) which acts under the Office of Prime Minister, Department of Disaster Prevention and Mitigation (DDPM), SME Bank and Isuzu Motors Co. (Thailand) Ltd (IMCT), the iPrepare Business facility launched the **“Resilient SME Champion Program”** in October last year. The program is intended to provide direct technical support services on DRM, risk assessment and business continuity

management (BCM) to selected nine SMEs through a series of workshops.

Based on SME definition in Thailand, qualifying enterprise were required to employ less than 200 persons or have a fixed capital less than THB 200 million, excluding land and properties. In addition, SMEs who were selected to join this program have to meet at least one of the following criteria:

- Located in disaster-prone areas
- Classified as operating in a key business sector (agriculture, ICT, hotel and tourism, logistics, and automotive industry)
- Directly or indirectly affected by natural hazards
- Active in global value chains

Currently, nine selected SMEs have participated in this program. The selected SMES are from different industrial sector - agribusiness (3 enterprises), auto parts (2), hotel and tourism (1), trading (2), and communication services (1). SMEs engaging in this program are profiled as follows:

4. SAMCO Co., Ltd.  
Auto parts business for more than 30 years, and supply parts to automotive industry since 2008. Located in Samut Prakan province.



6. First Contact Center Co., Ltd.  
Provide 24-hour services in outsourcing contact center. Located in Bangkok



1. Koson Co., Ltd.

Business in trading agricultural raw materials of animal feed such as Yellow Maize (Corn), Tapioca Chips and other agriculture products. Located in Ayutthaya.



5. Transtron (Thailand) Co., Ltd  
Business lines in production and sales of automobile electronic components. Located in Pathum Thani province



7. Thongsomboon Club  
"Cowboy"-and-"American-Indian" style resort with outdoor & indoor adventure activities. Located in Nakorn Ratchasima

2. Medifoods (Thailand) Co., Ltd.

Production and distribution of safe and premium quality rice and rice-derived health products. Engaging in full. Located in Chaiyaphum province.



9. Advance Exchange Technology Co., Ltd.

Business in heating, cooling, and energy saving system with fully service. Located in Bangkok



8. G-Tech OHM Co., Ltd.  
Trading Business in printing suppliers and materials. Located in Bangkok

AGRI



3. Agribusiness Co., Ltd.  
Business in import and export farm and livestock automation equipments. Headquarter located in Nontaburi province.

All these SMEs had either direct or indirect experience of the impacts of natural hazard induced disasters. Based on experience, average damage of these selected SMEs from the disasters was THB 20 million, whereas an average disruption period resulting from disasters was 52 days approximately.

The SMEs have developed their own business continuity plan (BCP) through four in-house workshops. The workshops were facilitated and led by Dr. Apassanun Silapapiphat, BCP Specialist, Weerapon Sripongchai, BCM Officer of the iPrepare Business facility in partnership with

representatives from national partners as well as IMCT staff who were trained on BCP through the Training of Trainer conducted by the iPrepare Business facility last year. The technical support services are provided through the following workshops:

<b>Workshop Title</b>	<b>Contents</b>
1. <i>BCP framework and Importance of BCP</i>	<ul style="list-style-type: none"> <li>• Provide the better understanding of BCP approach and how to apply BCP in practice</li> <li>• Encourage participants to appropriately identify BCP Purpose, Scope, and team</li> <li>• Raise awareness of importance on BCP</li> </ul>
2. <i>Business Impact Analysis (BIA) and Basic Risk Assessment</i>	<ul style="list-style-type: none"> <li>• Understand prioritized activities (PAs) and know what should be recovered first if business disruption occurs</li> <li>• Identify critical resources needed for PAs</li> <li>• Identify, assess, and analyze risks systematically</li> </ul>
3. <i>Business Continuity Strategies</i>	<ul style="list-style-type: none"> <li>• To encourage SMEs to develop business continuity strategies in 3 phases – before, during, and after incident</li> <li>• To stimulate any businesses to have resumption strategies to recover prioritized activity within Recovery Time Objective (RTO)</li> </ul>
4. <i>BCP Review and Exercise</i>	<ul style="list-style-type: none"> <li>• To encourage BCP development based on PDCA (Plan-Do-Check-Act) cycle</li> </ul>



**Top left: Workshop 2 on BIA and Basic Risk Assessment at Transtron (Thailand) Co., Ltd., Top right: Workshop 3 on Business Continuity Strategies at Thongsomboon Club, Bottom left & right: Workshop 4 on BCP Review and Exercise at Exercise at Agribusiness Co., Ltd and First Contact Center Co, Ltd.**

At the Thailand National Forum convened on 29 September 2016 in Bangkok, participating SMEs were recognized for their engagement in the initiative. Disaster Resilient SME Champion Trophies were presented to the SMEs by Dr. Jingjai Hanchanlash, Executive Director, ADPC.



*Participating SMEs receiving the Disaster Resilient SME Champion Trophies*



## OSMEP representation at the World Humanitarian Summit in Istanbul, Turkey



Ms. Natthamone Poomichai, a Specialist on SMEs Promotion from OSMEP represented iPrepare Business at the World Humanitarian Summit held in Istanbul, Turkey on 23-24 May 2016. At the side event on "Public-Private Partnerships for Resilience and Localized Response" on 23 May 2016, she made an opening statement to present on the significance of OSMEP's institutional structure and its support services as well as to showcase promising impacts made under the Resilient SME Champion program in building awareness and capacity to enhance disaster resilience of SMEs in Thailand.

## Business Continuity Management Training in the Philippines

According to the aforementioned survey carried out by the iPrepare Business facility in the Philippines, only six percent of survey respondents from all over the country have a BCP in place. In the country, there are also very few providers of BCM training, highlighting the need to build the capacity of government agencies who can provide this type of training to SMEs. Thus, with the support of Bureau of SME Development of the Department of Trade and Industry (DTI-BSMED), the iPrepare Business facility conducted a 2-day Training of Trainers (TOT) on BCM on 22 and 23 February 2016 in Makati, Philippines to contribute in the promotion of and capacity-building for BCM. The activity was participated by training staff from 15 out of 18 DTI regional offices and from other organizations such as the Philippine Trade Training Center (PTTC), the Office of Civil Defense (OCD), the Philippines Disaster Resilient Foundation (PDRF), and the Philippine Chamber of Commerce and Industry (PCCI). Four SMEs also attended. With guidance from the iPrepare Business facilitators, the training participants were able to have a hands-on experience in drafting the four essential elements of a BCP: Business Impact Analysis, Risk Assessment, Business Continuity Strategies, and Exercise Plan.



*Presentation, group work, discussion and group photo as part of the BCM Training workshop in Manila*

## 2.3. Supporting governments on strengthening enabling environment for disaster resilience building

### Policy review through consultative meetings with stakeholders

The iPrepare Business facility, led by Dr. Mary Picard, International Consultant conducted policy reviews on DRM and SME promotion and development systems in all the four target countries. In addition to desktop research, various stakeholders were consulted through interviews and workshops to obtain inputs and suggestions for building SME resilience in the country.

#### a. Consultative meeting on SME Resilience in Vietnam

The iPrepare Business facility visited Hanoi on 18-20 January 2016 and consulted with various key stakeholders ranging from government officials to NGO partners and private sector representatives including Vietnamese Chambers of Commerce of Industry (VCCI) and financial institutions. The purpose of the visit was to review and analyze policies, legislations, institutional arrangements, and incentive mechanisms related to SME resilience in Viet Nam. The Country report developed through compiling information and findings from the consultation included suggestions for Vietnamese government agencies and other stakeholders for further consideration in strengthening business resilience in the country.

#### b. Consultative meeting on SME Resilience in Indonesia

In collaboration with the Indonesian Ministry of Cooperatives and SMEs (MoCSMEs) and the SME Center of the University of Indonesia, the iPrepare Business facility organized a workshop on disaster resilience and Indonesian SMEs on 26 January 2016 in Jakarta. The workshop was held to further understand policy and institutional frameworks for promoting and enhancing SME disaster resilience in Indonesia.

The workshop was attended by more than 50 participants from various units of MoCSMEs, the National Disaster Management Office, other line ministries including those concerned with national planning and trade as well as Chambers of Commerce, financial institutes, NGOs, and GIZ. Starting with sharing of preliminary results of the SME Resilience Survey in Indonesia, participants provided inputs into a discussion on the topic of: *'How SME disaster preparedness and SME development programs can be linked?'*

Through bringing actors from different sectors to discuss disasters and SMEs the workshop was able to raise the awareness of participants, the majority of whom are from SME promotion/development sectors, on the importance of embedding disaster resilience into SME development programs.



Group Photo of the participants from MoCSMEs and various sectors in Indonesia

### c. Consultative Meeting on SME Development and Resilience in the Philippines



*Facilitators and participants engage in the consultative meeting hosted in Makati City, Manila*

To share with stakeholders the results of the SME resilience survey and the enabling environment policy review, the DTI-BSMED and the iPrepare Business facility organized a consultative meeting at the City Garden Grand Hotel in Makati City on 19 February 2016.

DTI Undersecretary Zenaida Maglaya and 39 other participants listened to and commented on presentations from DTI-BSMED and iPrepare Business as well as

from the Philippine Institute of Development Studies (PIDS), the PDRF, the GIDRM of GIZ, and Oxfam Philippines.

The meeting attendees also discussed ways of incorporating disaster resilience into the new SME Development Plan 2017-2022. Several concrete suggestions on how stakeholders should proceed forward toward the promotion of SME resilience were obtained from the various participants of the consultative meeting.

## Country Report

Together with the results of the SME resilience survey, findings from the policy reviews were compiled in a Country Report in the respective countries. The key issues and gaps identified in the reports were adopted as thematic areas in the Roadmap toward SME disaster resilience in each country mentioned earlier.

The Country reports will be accessible from the following link: <https://www.dropbox.com/sh/lztqrrlfbqqug/AACbbor6FQi7Zh4blw0nTt02a?dl=0>

### 2.4. Facilitating knowledge sharing

The iPrepare Business facility organized regional and national level forms to provide a platform for knowledge and experience sharing in the targeted four countries, the wider Asian region and beyond while producing a number of knowledge products on business resilience.



## Asian Business Forum 2016 on Risk Reduction and Resilience Building in Bangkok, Thailand



The Asian Business Forum 2016 on Risk Reduction and Resilience Building was held on 20-21 April 2016 at Dusit Thani Hotel in Bangkok. The forum objective was to create an effective platform for reflection and stocktaking on efforts focused on risk reduction for the private sector and to exchange the specific challenges, lessons learned and good practices identified by relevant key actors and stakeholders.

The forum was attended by over 200 participants from government, diplomatic missions, private sector, banking and finance, public organizations and development partners from the Asia Pacific region and beyond. Notably, one third of the attendees were comprised of individuals from the private sector, banking and finance institutions, public organizations, with significant representation from SMEs.

The 2-day forum took on added importance as an opportunity for stocktaking amongst

policymakers, governments, businesses and development partners almost exactly one year following the agreement of the SFDRR (2015-2030). Whilst the first thematic session was primarily focused on the current iPrepare Business regional project in Southeast Asian countries, the forum discussed various topics relevant to business resilience ranging from business continuity, community resilience, risk financing as well as tourism sector resilience. The discussion was also supplemented by global perspectives provided by governments and development partners from other key regions engaged in private sector engagement in DRR.

The meeting was particularly successful in highlighting the role of SMEs as an integral part of the socio-economic landscape in the Asia Pacific region and the need to engage these businesses in risk reduction efforts targeting the private sector. The forum also stressed the importance of better understanding the drivers, needs and specific challenges of the private sector in engaging in risk reduction and communicating and collaborating with them in overall efforts for DRR. All the forum materials including speeches and presentations delivered at the forum were uploaded to a dedicated website (<http://www.adpc.net/ABF2016>).



Group Photos and forum atmosphere

## National Forums

### a. National Business Forum on SME Development and Disaster Resilience in the Philippines

A two-day national business forum was held in Manila. The event was co-organized with DTI, OCD-Philippines, the Asia Pacific Alliance (A-PAD), PDRF, Philippines Chamber of Commerce and Industry (PCCI), Philexport and the Employers' Confederation of the Philippines (ECOP).

Senator Paolo Benigno "Bam" Aquino IV along with senior officials from Government and head of selected private sector organizations shared their common vision for enhanced SME resilience in the Philippines. Aside from a number of informative presentations and technical sessions delivered by each of the co-organizers and supporting agencies, other stakeholders from across the private and public sectors also delivered content on their ongoing initiatives in order to share lessons learned and good practices on initiatives for SME disaster resilience.

Representatives from SM Prime, CNDR, Oxfam Indonesia and Philippines, JICA Philippines, UP Institute for Small Scale Industries, Aboitiz Equity Ventures, Inc., P.J. Lhuillier, Small Business Corporation (SB Corp.) were able to deliver inputs

concerning their experiences on SME disaster resilience in promoting economic development and building resilient communities in the Philippines context

Notably, an interactive session involving the forum participants was valuable in mapping stakeholders and their planned, future and potential activities to assist the formulation of a roadmap on SME disaster resilience.

Furthermore, to move forward for the implementation of the roadmap, on the 2<sup>nd</sup> day of the forum, on the occasion of the 35<sup>th</sup> anniversary of the DTI, the Government of the Philippines invited key stakeholders to sign an memorandum of understanding (MoU) to consolidate areas of co-operation and dialogue between relevant actors and stakeholders concerned with business resilience in the country to help ensure continued and sustainable efforts for strengthening SME resilience in the Philippines.

The roadmap and country (including region specific) action plan developed outlines priorities for conducting of business continuity awareness and capacity-building activities; sharing of knowledge and expertise that will help promote and sustain BCM as a business-oriented approach to risk



Forum presentations



assessment and contingency planning; engaging key stakeholders in the fields of micro small and medium-sized enterprises (MSME) development and disaster risk reduction and management (DRRM)/ climate change adaptation (CCA) in order to help promote the adoption of BCM and promoting the implementation of the SFDRR (2015-2030) through the DTI and OCD/NDRMMC.



*MoU signing at the Philippines forum*

**b. Thailand Business Forum - Private Sector Investment in Disaster and Climate Resilience**

The government, private sector and development partners came together at the Thailand Business Forum held in Banyan Tree Hotel, Bangkok on 29 September 2016 to showcase the experience and initiatives by businesses on disaster and climate resilience and to explore future engagement of the private sector in resilience building efforts. A keynote speech was delivered by Mr. Chen Namchaisiri, Chairman of the Federation of Thai Industries(FTI).

Attended by more than 80 participants from key ministries and planning agencies e.g. NESDB, OSMEP and DDPM, development partners as well as private sector organizations e.g. FTI, Chamber of Commerce and SMEs and large corporations, the forum recalled the devastating impacts of 2011 floods in Thailand and highlighted the importance of keeping the memories alive. At the opening session, Mr. Chen, FTI reiterated "the great flood of 2011 was indeed the turning point for the public and private sectors in Thailand to shift from a reactive to more



*Participants cheer during a group photo at the Thailand Business Forum*



proactive approach in disaster preparedness and mitigation in order to strengthen SME business resilience”.



*Mr. Chen Namchaisiri, Chairman of Federation of Thai Industries, delivering the keynote speech*

The forum provided a platform to exchange and reflect on the experience of business continuity planning under the “Thailand Resilient SME Champions Program” as well as a pilot project on Area Business Continuity Management (BCM) in Bankadi Industrial Park funded by Japan International Cooperation Agency (JICA). The forum also discussed the vision for resilient investments in the private sector, which has provided strategic directions for future engagement by key stakeholders to create a more enabling environment for business continuity and risk-informed investments.

The event was concluded by emphasizing the significance of stakeholder collaboration and leadership of the government in driving business resilience efforts.

### **c. Viet Nam Business Forum - Identifying ways forward for Private Sector Engagement in Disaster Risk Reduction**

The National Business Forum on “Strengthening Disaster Resilience of SMEs in Viet Nam” was co-organized by the Assistance Center for SME-North Viet Nam (TAC-Hanoi), Oxfam Viet Nam, The Asia Foundation and the iPrepare Business facility on 30th September 2016 in Hanoi.

It was an opportunity for over 100 participants from relevant government agencies, development partners and NGOs and private businesses, in particular SMEs as well as academia to exchange best practices and lessons learned for private sector engagement in DRR efforts in the Viet Nam context. Notably, key stakeholders were able to provide inputs into formulating a “Roadmap” toward SME disaster resilience in Viet Nam.

Mr. Nicolas Drouin, First Secretary-Development, focal point for climate change/disaster risk reduction, Canadian Embassy in Viet Nam, Ms. Nguyễn Mỹ Anh of TAC Hanoi and Mr. Aslam Perwaiz of ADPC provided remarks at the opening session. Mr. Nguyễn Trí Thanh, Senior Program Officer, The Asia Foundation and Mr. Nguyễn Diễm, Deputy Director of (Vietnam Chamber of Commerce and Industry) VCCI Da Nang and Mr. Nguyễn Mạnh Linh, Deputy Chief Executive Officer of the Vietnam National Reinsurance Corporation (VINARE) provided a number of examples and initiatives related to SME activities in disaster resilience.

Experience sharing on initiatives for SME resilience in Viet Nam was delivered by Small and Medium Enterprises Development

Center (SMEDEC), Oxfam and Spark (Center for Social Entrepreneurship Development) as well as representatives from SMEs themselves including Nam Anh Mushroom Company, Khải Thịnh Company and Viettronimex, Da Nang.

The final activity of the day involved group discussion on the Roadmap for SME Resilience in Viet Nam. Stakeholders were asked for their inputs to suggest potential areas of focus and pilot projects on areas of: SME inclusion in DRRM and CCA policy, planning and local institutions; Enhancing SME general and disaster risk data; DRM and BCM awareness and training; and Risk Financing for SMEs.



*Atmosphere of the forum*

#### **d. Indonesia Business Forum on SME Development and Disaster Resilience**

The National Business Forum on SME Development and Disaster Resilience in Indonesia was convened on 23<sup>rd</sup> November 2016 in Jakarta. The forum was co-organized by the MoCSME, Oxfam Indonesia, Badan Nasional Penanggulangan Bencana (BNPB), the Asia Pacific Alliance for Disaster Management (A-PAD) and the iPrepare Business facility.

It was an opportunity for over 80 participants from relevant government agencies, development partners and NGOs and private businesses, in particular SMEs as well as academia to exchange best practices and lessons learned for private sector engagement in DRR efforts in the Indonesia context. Notably, key stakeholders were able to provide inputs into formulating a "Roadmap" toward SME disaster resilience in Indonesia.

Through her opening address Ibu. Yuana Sutyowati, Deputy Minister of Business Restructuring, MoCSMEs underlined the need for greater integration for the issues of disaster risk management and SME development in the Indonesian context. Mr. Olaf Handloegten, Head of Management Unit GIDRM, GIZ explained about the work on SME resilience building in terms of the wider context of the GIDRM and GIZ programmes. Mr. Aslam Perwaiz, iPrepare Business Team Leader also provided a recap on the iPrepare Business team's work in Indonesia so far noting the significance of the project approach of working with the National Disaster Management Office i.e. BNPB as well as the MoCSMEs to encourage

greater dialogue and co-operation between these two relevant national partners for building private sector resilience, particularly that of SMEs.

The morning technical session focused on SME Resilience Programs in Indonesia and was moderated by Ir. Teguh Budiana, Msc. Senior Staff, MoCSMEs. Firstly, Ibu. Yuana Sutyowati presented an Overview of MoCSMEs programs in 6 regions. Next, Mr. Ade Reno Sudiarno, Resilience Building Coordinator of Oxfam Indonesia provided inputs focusing on Partnerships for SME Resilience programming. This was followed by Mr. Eka Jatnika Sundana, Doctoral Researcher, Griffith University, Australia / Environmental Management Agency of West Java Province who provided inputs on Adapting to Changing Climate: Improving Resilience of Indonesian MSMEs from Climate-related Disasters.

The afternoon technical session concerned Engaging the Private Sector in DRR in the Indonesian Context and was moderated by Mr. Hasan Jauhari, Senior staff of Minister, MoCSMEs. Mr. Yulianto, Head of Business Institution's Role Division, BNPB presented on the Role of Private Sectors and SMEs in Disaster Risk Reduction. Next, Mr. Faisal Djalal, Chairman of A-PAD spoke about Roles in strengthening involvement of Business in Disaster Risk Reduction. The day's final presentation was given by Mr. Syamsul Ibad of the Centre for International Migration and Development (CIM), GIZ Indonesia and Mr. Alfin Mufreni, INOPAK Institute on an overview of Programme Migration for Development (PMD) project.



Participants worked in groups according to Roadmap key themes before feeding back to the rest of the forum

## 2. Knowledge Products for Enhancing Disaster Resilience of Private Sector

The iPrepare Business facility has developed several knowledge products by consolidating the outputs of the project activities such as surveys and policy analysis and by compiling case studies of good practices relevant to building business resilience. The following resources are currently available.

1. *Understanding the Needs of SMEs – Survey and Analysis Reports (Philippines, Thailand, Viet Nam, Indonesia)*
2. *Country Reports on Disaster Resilience of Small and Medium Enterprises (SMEs)*

- *Enabling Environment and Opportunities (Philippines, Thailand, Viet Nam, Indonesia)*

3. *Disaster Resilience and Business Continuity Management in The Asia Pacific Region (Global Study)*
4. *Improving SME Resilience in Thailand Study*
5. *BCM Training Material (Philippines, Thailand, Viet Nam, Indonesia)*

Please access the resources from the link <https://www.dropbox.com/sh/lztqrrlfvfbqqg/AACbbor6FQi7Zh4blw0nTt02a?dl=0>

More documentation is underway.



## iPrepare Business regional project video



A short video clip (about 3.3 minutes) was developed to brief the backgrounds of the regional project and showcase the project impacts visually interestingly. The video was also presented at the Asian Business Forum held in April 2016. The link to the clip is available here: <https://youtu.be/c6WT5aiYbrI>

## Online newsletter and social media

Information regarding iPrepare Business's activities is distributed via an online monthly newsletter called "iPrepare Business News Update". Currently, more than 1000 members are registered in our mailing list which is being continuously updated.

We also continued to utilize social media, particularly Twitter (@iPrepareBiz) and Facebook (iPrepareBusiness) to disseminate information on iPrepare Business activities including its participation in non-project related activities, as well as to enhance the visibility of the project.

## 3. Area Business Continuity Management (Area BCM), Thailand



Under the "Promotion of Area Business Continuity Management (Area BCM) and Formulation of Area Business Continuity Plan (Area BCP) for Thailand" project, funded by the Japan International Cooperation Agency (JICA), the iPrepare Business facility convened a formal kick-off meeting and the first Area BCM workshop on 4 February 2016 at Bangkok Golf Club hotel, Pathumthani province. The meeting and workshop were aimed at introducing the Area BCM project for Bangkok Industrial Park area and to start implementing the first phase of Area BCM cycle "Understanding the Area".

Pathumthani Vice Governor, Mr. Nirat Phongsittithaworn attended to the meeting on behalf of a chairperson of Provincial Area BCM Board of Committee. The guests of honor comprised Dr. Jingjai Hanchanlath, Acting Executive Director of ADPC; Mrs. Chuleeporn Boonyamalig, Director of Agriculture, Natural Resources and Environment Planning Office of NESDB; Mr. Shuichi Ikeda, Chief Representative of JICA Thailand Office; and Mr. Chainarong Vasanomsithi, Director of Research and International Cooperation Bureau of DDPM. Over 70 participants engaged a kick-off meeting in the morning session including provincial public offices and municipalities, public organizations, universities, private sectors, and local communities. Three committees of Area BCM projects at

national, provincial, and local levels were introduced in the meeting.

In the afternoon session, over 25 members of the Area BCM Working Group (local-level committee) participated in the first workshop of Area BCM. The iPrepare Business team facilitated the workshop in order to discuss the scope of the area including potential risks and impacts that might disrupt business operation in the area. During the workshop, fact-based information to better understand the area of Bangkadi district and industrial park were extensively communicated by Director Chuchart Supawatthanangkul of Pathumthani Irrigation Office and senior BIP Manager, Mrs. Sujin Thamrongtheppithak. The workshop was also observed by JICA and NESDB delegates.

Following the kick-off meeting and the 1<sup>st</sup> workshop, the iPrepare Business facility had several meetings with NESDB officials and



**Area BCM Cycle**

key stakeholders in the area to review the outcomes of the 1<sup>st</sup> meeting and workshop and to discuss the planning of the 2<sup>nd</sup> workshop. In parallel, the facility met with Mr. Tatchai Sansena, GIS Analyst from the Geo-Informatics and Space Technology Development Agency (GISTDA), who is part of the project Working Group to discuss risk assessment in preparation for risk profiling of the project target areas.



*Pathumthani Vice Governor, Mr. Nirat Phongsittithaworn delivered opening remarks at the meeting, group photo and atmosphere of Area BCM meeting and the first workshop*

The iPrepare Business facility organized the 2<sup>nd</sup> workshop on Determining Area BCM Strategy at Bangkok Golf Spa Resort, Pathumthani province on 11 July 2016.

There were 44 representatives from government agencies, private companies, and community leaders in the area who attended the event. This workshop was aimed at facilitating discussion on the second element "Determining Area BCM Strategy" according to the selected approach of the Area BCM Cycle. The key content covered disaster scenario creation, Area Business Impact Analysis (Area BIA), critical resources analysis, potential bottleneck issues for the site area, and analysis of current flood preparedness strategies.

On 12 September 2016, the 1<sup>st</sup> meeting of Provincial Board of Committee was held at Pathumthani city hall. The meeting was chaired by Mr. Surachai Khan-arsa, Pathumthani Governor. The purpose of the meeting was to report progress updates and seek recommendations as well as collect information from the committee for further implementation of the project. The iPrepare Business facility presented the overall progress of the activities and elaborated the first draft of Area BCP in Bankadi Industrial Park. In principle, the first draft of Area BCP was agreed and approved by the Provincial Board of Committee.

On 13 September 2016, the iPrepare Business facility organized the 3<sup>rd</sup> workshop, at Bangkok Golf Spa Resort, Pathumthani. Mr. Surachai Khan-arsa, Pathumthani Governor delivered the opening remarks at the workshop. There were 49 representatives from government

agencies, private companies, and community leaders in the area. This workshop was aimed at facilitating continued discussion on the second element "Determining Area BCM Strategy" as per the Area BCM Cycle. The key contents identified components to be covered by the Area BCM Strategy amongst 3 groups of stakeholders, namely (i) private sector, (ii) government and infrastructure, and (iii) community as well as prioritization of strategies for establishing implementation plans.

On 4 November 2016, the iPrepare Business facility convened the final workshop under the last phase of Area BCM Cycle, "Implementing - Reviewing and Improving Area BCM" at Bangkok Golf Spa Resort, Pathumthani province. Attended by around 50 participants from the Working Group and relevant organizations from public and private sectors including NESDB, Pathumthani Governor's office, BIP Co., Ltd, as well as technical agencies, academia and surrounding communities, the objective of the workshop was to review and exercise the Area BCM for the industrial park.

Opening remarks were delivered by Mr. Thawatchai Srithong, Chief of Pathumthani Governor's Office and Ms. Ladawan Kumpa, Deputy Secretary General, NESDB. Ms. Ladawan highlighted that "the BIP experience will be a good model for developing and implementing Area BCM as well as replicating the same approach to other industrial estates in the country". Ms. Ladawan also stressed the importance of continued collaboration between various stakeholders across different sectors i.e. the public, private and communities.

This is the first pilot project in Southeast

Asia to complete every step of the Area BCM cycle. At the workshop, the Area BCP strategy was reviewed by identifying priority measures for risk reduction against floods and its action plan including the ownership of the BCP and timeline for repeating the Area BCM cycle going forward, and as well as formulation of Area Incident Command System (Area ICS).

The participants were also introduced to the concept of tabletop exercise in order to review the overall process of the Area BCM Cycle which was undertaken through a series of workshops, meetings and consultations.

On 2 December, the NESDB convened a meeting among the National Steering Committee on Area BCM where the iPrepare Business facility reported the outputs of the Area BCM pilot project, as well as the process of the project was reviewed and feedback was provided to improve the area BCP developed for the area of Bangkadi Industrial Park. The meeting was chaired by the Secretary General of the NESDB.





#### 4. Enhancing partnership with Isuzu Motors Co., (Thailand) Ltd



Leveraging a partnership with Isuzu Motors Co., (Thailand) Ltd (IMCT) built under the earlier “SME Resilient Champion” program, the iPrepare Business facility together with IMCT launched a new project on 7 December 2016 at IMCT headquarters in Samutprakarn province in Thailand. The project is aimed at promoting excellence in supply chain resilience by strengthening disaster resilience of IMCT’s suppliers. The iPrepare Business facility will conduct business continuity awareness and capacity building activities for the suppliers over the next two years. In particular, 16 companies will be provided direct technical support by the iPrepare Business to develop their BCP and engage in DRR activities. In the first year eight suppliers will participate in the program.

In addition, the memorandum of understanding (MoU) signing ceremony also took place among IMCT, ADPC, and GIZ at this event. The MoU will serve as framework for further collaboration among the three for the next two years in the

following key areas: (1) conducting of business continuity awareness and capacity building activity, (2) sharing of knowledge, expertise and BCP as a business-orientated approach to enhance disaster resilient of business, (3) engaging other key stakeholders in order to promote the adoption of BCM, and (4) co-organizing knowledge sharing events.

Following the MoU signing ceremony, program briefing was undertaken to provide an orientation of the program to the first eight participating companies and one Isuzu supplier company as an observer.



*MoU signing and program orientation*

## 5. Other iPrepare Business activities

In addition to iPrepare Business under the aforementioned projects, various other activities have been also carried out to capitalize our efforts for awareness raising and capacity building of disaster resilience.

### 5.1. PTT Exploration and Production Public Company Limited showcase their approach to BCP, Thailand

The iPrepare Business facility was invited by Toyota Co-operation Club (TCC) to visit PTT Exploration and Production (PTTEP) on 17 February 2016. The purpose of the visit was to observe BCM activities and practices at PTTEP. The development of the BCM approach at PTTEP has actively been employed since 2012, and selected divisions of the company have achieved ISO 22301 BCM Systems certification in 2015. The ISO certification process and PTTEP certifying activities were shown to be valuable example of a good practice of a private enterprise establishing and developing their



*Group photo of PTTEP BCM officials, members of Toyota Co-operation Club and iPrepare Business team at PTTEP HQs*

BCM. The next step for PTTEP to further embed BCM culture would be to encourage and require their critical suppliers to also attain the BCM standard.

### 5.2. Partnering with Toyota to raise awareness of their suppliers on Business Continuity Management, Thailand

On 9 March 2016, iPrepare Business in collaboration with Toyota Co-operation club organized a workshop on Business Continuity Management at Toyota Motor Asia Pacific Engineering & Manufacturing, Bang Bor. One hundred and forty-nine people, who were from Toyota's main suppliers, attended the workshop. The workshop was held to raise awareness of impacts caused by business disruption, as well as, importance of planning for business.



*iPrepare Business staff with the workshop participants at Toyota Motor Asia Pacific Engineering & Manufacturing*

### 5.3. Providing BCM orientation at DPM Academy, Thailand

The iPrepare Business facility provided two orientation trainings on BCM at the Disaster Prevention and Mitigation (DPM) Academy, Pathum Thani province on 16 May 2016 and 1 August 2016. This session was part of the training program organized by the DPM Academy Pathumthani on "Executive of Local Authority Office, Batch 10 and 11".

The ultimate goal of this session was to encourage the local authority to integrate BCM into its local disaster risk reduction activities. There were 60 and 36 executive officers present including the Mayor,

Executive of Tambol Administrative Office as well as representatives from Local Authority Offices from across the country in attendance at the training, respectively.



*iPrepare Business participating DPM Academy training and providing BCM orientation*



#### 5.4. iPrepare Business facility supports Private Sector Engagement in DRR in Ayutthaya, Thailand



The iPrepare Business team provided technical support inputs for the private sector engagement component of DRR activities under the Program for Reduction of Vulnerability to Floods in Thailand, a project implemented by ADPC with support from USAID-OFDA. The program team collaborated with Hi-Tech Industrial Estate and Hi-Tech Company Association to organize an orientation workshop on DRR and BCM for representatives from private companies based in the Hi-Tech Industrial Estate, Ayutthaya Province on 15 July 2016.

There were 22 participants from more than 17 companies in the estate, some of whom had direct backgrounds of working on BCP and work for a particular field of BCM or

needed to refresh their knowledge on the topic. The training covered the primary elements of BCP and included modules designed to assist representatives from the industrial sector to adapt their plans based on the specific types of activities they are engaged in.

The 2<sup>nd</sup> workshop was conducted on 18 August 2016 and elaborated in more detail on the BCP framework, risk assessment, and business impact analysis. There were 17 participants from more than 11 companies.

On 20 October 2016 the final workshop was convened to encompass the business continuity strategies and PDCA (Plan-Do-Check-Act) cycle in more details. The Director of Ban Wa (Hi-Tech) Industrial Estate; Mr. Suchote Siriyonont delivered the closing remarks and presented certificates to participants.







*Group photo and workshop atmospheres*

### **5.5. Reaffirming ADPC's commitment to support SME resilience in Thailand**

On the occasion of the Smart SME EXPRO 2016 held at Muang Thong Thani on 30 June 2016, the ADPC in collaboration with the OSMEP and other relevant agencies signed a Memorandum of Understanding (MoU). The MoU underlines both parties' commitment to building the capacity and competency of Thailand's SMEs in disaster risk management and lasts for one year.

On behalf of ADPC, the iPrepare Business facility signed the agreement alongside 51 public and private agencies and financial institutions working with SMEs in the country.

The MoU aims to build upon the progress of a previous agreement which ran from June 2015–2016. Over this period, the iPrepare Business team supported OSMEP in providing direct technical support to selected Thai SMEs across Thailand on areas such as business continuity planning, risk assessment and enhancement of basic DRR knowledge under the "Resilient SMEs Champion Program".

The MoU signing ceremony was presided over by Dr. Somkid Jatusripitak, Deputy Prime Minister of Thailand. Prof. Dr. Krasae Chanawongse, Chairman, represented ADPC at the event along with iPrepare Business team.



*Dr. Somkid Jatusripitak, Deputy Prime Minister of Thailand, speaking during the ceremony*

## 5.6. Asia Risk & Resilience Conference (ARRC) 2016

The iPrepare Business facility supported the organization of Asia Risk & Resilience Conference (ARRC) in Singapore on 23-25 August 2016. The event gathered Business Continuity practitioners to widen their knowledge and network on the dual topics of Business Continuity and Risk Management. This year's specific theme focused on *Corporate Governance, Risk & Resilience*.

Mr. Aslam Perwaiz, Team Leader of the iPrepare Business facility participated in the conference by presenting on 'Building Tools and Capacities for Business Resilience' - drawing on the experience of lessons learned from major disasters in recent years including the 2011 Great East Japan Earthquake & Tsunami and the 2011 Great Floods in Thailand - as well as contributing to a panel discussion on "Corporate Governance: achieving success in your organisation through enterprise risk management (ERM) & business continuity management".

Other key participating organizations and agencies included GIZ, UNISDR, Business Continuity Institute (BCI), Ministry of Home Affairs (Singapore) and National University of Singapore. The target audience of the ARRC were practitioners of BCM, ERM and other relevant disciplines, those keen on gaining knowledge on BCM, ERM, Supply Chain, ICT Resilience, Effective Crisis Management, Natural Hazards and Disaster Management, BCM Systems, Human Aspects, Cyber Security and Engaging Executives as well as those keen to increase their network and contacts in the industry.



*Mr. Perwaiz presenting at ARRC*

## 5.7. Engaging the Mauritian private sector in Disaster Risk Reduction



The iPrepare Business facility participated in the inaugural Emergency Planning Forum in Port Louis, Mauritius on 31 August 2016.

The Emergency Preparedness conference, organized by Celero logistics group was a valuable exercise in facilitating dialogue between partners from government, media, regional organizations as well as a variety of private sector representatives. Bringing together representatives from these different sectors underlined the need for emergency preparedness as a multi-stakeholder endeavour in order to create a safer and more secure Mauritius. Representatives from the Mauritian media, tourism and telecommunication sectors, as well as key regional organizations such as the Indian Ocean Rim Association (IORA) Secretary General represented by Ambassador H.E. K V Bhagirath, provided key perspectives and inputs into the day's proceedings.

As well as informing the participants on ADPC's work to integrate business, community and government for building more resilient societies, the iPrepare Business facility delivered an interactive activity session on 'preparing for business continuity planning'. The session focused on four key sectors in the Mauritian context: PR & Media; Business & Technology; Supply Chain & Export; and Tourism based on the innovation approach of the iPrepare Business facility. The exercise encouraged stakeholders from these different sectors to consider the value of the planning and take steps towards being better prepared for events which hold the potential to disrupt their operations, including natural hazard induced disasters.

The key outcome of the conference was to identify ways forward for increasing private sector engagement for resilience strengthening efforts in the country as well as contributing to efforts for strengthening general disaster management arrangements by clarifying key gaps, challenges and potential areas.

## **5.8. Partnership with GDPC on Global Business Continuity Initiatives**



The iPrepare Business facility has signed an MoU with the Global Disaster Preparedness Centre (GDPC), part of the International Red Cross/Red Crescent network, headquartered in Washington D.C.. The collaboration between GDPC and ADPC focuses on providing support in innovation and learning on disaster preparedness in order to enhance cooperation between the two organizations on business continuity initiatives and wider business resilience initiatives. The areas of cooperation identified are 1) conducting business continuity awareness and capacity-building activities; 2) sharing of knowledge and expertise that help promote disaster resilience of businesses, particularly SMEs and achieve community resilience; 3) engaging other key stakeholders to help promote the adoption of BCM and support the respective programs; and 4) co-organizing knowledge sharing events for businesses.

## **5.9. ESCAP Sustainable Business Network's task force meeting**

On 31 October, iPrepare Business attended a meeting of the Task Force on Disaster Risk Reduction established under ESCAP's Sustainable Business Network. The meeting

was convened with the objective of promoting the disaster resilience of the private sector, particularly SMEs, and further leveraging their potentials in contributing to the attainment of society's disaster resilience in line with key global frameworks, namely the SFDRR, the Paris Agreement on Climate Change, and the Sustainable Development Goals. The meeting reviewed the Task force's scope and ToR while reflecting and taking stock of the state of relevant activities and plans by the task force members. In the beginning of the meeting, it was re-acknowledged among the members that a new approach should be taken in engaging the private sector in DRR by indicating how businesses can benefit from DRR initiatives. The meeting also discussed future areas of cooperation among the members, including the upcoming events such as the Asia Pacific Business Forum in Dhaka, Bangladesh in February next year.

### **5.10. Business Continuity of Fisheries & Seafood Industry in Thailand**

On 19 October, iPrepare Business attended a monthly stakeholders meeting which was organized by Bank of Ayudhya (Krungsri) team to discuss the issue of illegal and unregulated (IUU) fishing in Thailand. The issue has received broad attention with the recent EU's issue of its yellow card on Thai fishing exports. Representatives of Fisheries Association were also invited to the meeting and shared the impacts of the yellow card and subsequent Thai government responses on fishery and aquaculture communities. The meeting also discussed potential areas

of support to the communities among development partners in participation such as the International Labour Organization (ILO), United Nations Development Programme (UNDP), World Wildlife Fund (WWF), Network of Aquaculture Centres in Asia-Pacific (NACA) and iPrepare Business, as well as Krungsri. The meeting recommended forming a small working group among the partners to further design a small pilot project to improve the uptake of Aquaculture Stewardship Certification by operators and to build on business sustainability and resilience in the shrimp industry.

### **5.11. iPrepare Business Participation in AMCDRR 2016**

The iPrepare Business facility participated in the Asian Ministerial Conference for Disaster Risk Reduction (AMCDRR) 2016 - Risk Sensitive Development for Community Resilience - organized by the Ministry of Home Affairs, Government of India in collaboration with UNISDR from 2-5 November 2016 in New Delhi, India. At the thematic session on [Private Sector Engagement: Business Resilience and Private Sector Engagement in Disaster Risk Reduction: Shared Value, Survival and Better Business in a Changing World](#), Mr. Aslam Perwaiz, Team Leader of the iPrepare Business, as panelist shared iPrepare Business's regional experience of working with partners to build business resilience while emphasizing the importance of SMEs resilience. The iPrepare Business also contributed to the discussions, particularly those relevant to the private sector.





region. Ms. Mio Kato, iPrepare Business Coordinator shared the experience of ADPC and iPrepare Business in understanding the needs and vulnerabilities of businesses in addressing disaster risk as well as in building awareness and capacities of reducing disaster risk in post 2011 Thailand floods.

Under the “Asia Regional Plan for Implementation of the Sendai Framework” which includes a set of milestones and a two-year action plan for the period from 2017 to 2018 endorsed at the meeting, the engagement of the private sector and their roles in implementing the Framework are greatly recognized. For details, please visit <https://www.amcdrindia.net>

## **5.12. Contributing to the regional dialogue on post-Earthquake Recovery in Nepal**

On 17 November, the iPrepare Business facility participated in the regional dialogue on “The Role of the Private Sector in post-Earthquake Recovery in Nepal” organized in Kathmandu, Nepal by the Ministry of Commerce, Government of Nepal and Nepal Reconstruction Authority jointly with United Nations ESCAP and the Confederation of Nepalese Industries, in facilitation with Chaudhary Foundation. The dialogue was to document the achievements of earthquake recovery efforts made in Nepal so far and to further enhance the resilience of the private sector and their roles in the new paradigm of disaster risk reduction in Nepal and in the

## 6. Way-forward

### **In the new year 2017 and beyond - Up-scaling, leveraging and formalizing business resilience tools, and regional knowledge sharing**

Building on the best practices, knowledge and networks nurtured from various past initiatives, in particular the regional project on "Strengthening Disaster Resilience of SMEs in Asia" the iPrepare Business facility with further commitments from GIZ, ISUZU Motors Co., (Thailand) Ltd, and ADB will further aim for *Up-scaling, leveraging and formalizing business resilience tools, and effective regional knowledge sharing*. Capitalizing on small contributions from partners, the iPrepare Business facility will continue to serve as catalyst for creating a demand in private sector to invest in disaster resilience building through providing capacity building opportunities including standardization of business resilience tools while promoting knowledge exchange among policy makers, practitioners and development partners working in the area.

Furthermore, recognizing the private sector as an important segment of community and critical for society's resilience, the iPrepare Business facility will pursue a path for

bridging between businesses and communities by enhancing awareness and capacities of entrepreneurs in supporting resilience building of their local communities in which they operate.

Thus, in the new year and beyond the facility will focus on the following key activities: 1) replication of BCP/BCM for supply chain resilience through conducting business continuity trainings in partnership with large corporations; 2) strengthening public private partnerships for community resilience through institutionalizing collaborative mechanism and applying for innovative approach 3) undertaking study and research on risk financing solutions for the private sector; and 4) convening the 2<sup>nd</sup> Asian Business Regional Forum participated by government agencies, private sector organizations and large, small and medium enterprises and development partners. Please refer to the logical framework on the following page for details on the activities for 2017 .

We will update you on our initiatives and progress through iPrepare Business Update issued on a monthly basis, so we highly appreciate your continued interest, support and engagement in iPrepare Business activities. We are also more than happy to receive any recommendations or feedback from you on iPrepare Business activities.

**LOGICAL FRAMEWORK MATRIX** (Continuation of the original logical framework)  
**Proposed outcome for 2016-2017**

Output 5: Up-scaling leveraging and formalizing business resilience tools, and regional knowledge sharing	Activities	Indicators to measure progress	Risks and Assumptions
	<b>5.1</b> Standardization of business resilience and capacity development tools e.g. BCM/BCP with disaster and climate elements and aligned with ISO standards serving country specific conditions and sectors as well as a set of tools / modules covering risk assessment, risk financing and risk transfer options (linked to 5.4).	Marketable, standardized tools for business resilience documented and ready for replication	<p><b>Assumptions</b> Partners and targeted SMEs are interested in the project, collaborative and supportive</p> <p><b>Risks</b> Low buy-in and commitment by government agencies and partners</p> <p>Change in a political environment might affect implementation</p>
	<b>5.2</b> Replication of BCP/BCM for supply chain resilience through conducting business resilience trainings in partnership with large corporation(s)	8 suppliers of ISUZU Thailand (IMCT) has disaster resilient business continuity management by mid-2017	
	<b>5.3</b> Strengthening public private partnership for community resilience through institutionalizing collaborative mechanism (e.g. services, networks) and applying for innovative approach	Business Continuity Initiatives for SMEs and Community –Business interface enhanced	
	<b>5.4</b> Study/research to identify risk financing and risk transfer solutions (e.g. insurance, loans, subsidies) for the private sector	Regional Research and Feasibility Study conducted and existing solutions utilized /embedded (for example solutions developed by GIZ and others)	
	<b>5.5</b> The Asian Business Regional Forum participated by government agencies, private sector organizations (e.g. Chambers of Commerce, business associations) and large, small and medium enterprises and development partners	Regional Forum to bring business resilience innovation and contribution to the SFDRR and Paris agreement.	